Principles of Software Construction: Objects, Design, and Concurrency

Achieving and Maintaining Correctness in the Face of Change – Pt. 2

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Outline

- Java programming basics loose ends
- Contracts for interfaces
- Interface testing JUnit and friends
- Class Invariants for implementations
- Implementation testing assertions

Review: Object methods

- equals true if the two objects are "equal"
- hashCode a hash code for use in hash maps
- toString a printable string representation

Review: Object implementations

- Provide identity semantics
- Must override if you want value semantics
- Overriding toString is easy and beneficial
- Last time I said it was hard to override equals and hashCode
- I lied



The equals contract

The equals method implements an **equivalence relation**. It is:

- Reflexive: For any non-null reference value x, x.equals(x) must return true.
- Symmetric: For any non-null reference values x and y, x.equals(y) must return true if and only if y.equals(x) returns true.
- Transitive: For any non-null reference values x, y, z, if x.equals(y) returns true and y.equals(z) returns true, then x.equals(z) must return true.
- Consistent: For any non-null reference values x and y, multiple invocations of x.equals(y) consistently return true or consistently return false, provided no information used in equals comparisons on the objects is modified.
- For any non-null reference value x, x.equals(null) must return false.



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The equals contract in English

- Reflexive every object is equal to itself
- Symmetric if a.equals(b) then b.equals(a)
- Transitive if a.equals(b) and b.equals(c), then a.equals(c)
- Consistent equal objects stay equal unless mutated
- "Non-null" a.equals(null) returns false
- Taken together these ensure that equals is a global equivalence relation over all objects

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equals Override Example

```
public final class PhoneNumber {
    private final short areaCode;
   private final short prefix;
   private final short lineNumber;
   @Override public boolean equals(Object o) {
        if (!(o instanceof PhoneNumber)) // Does null check
            return false;
        PhoneNumber pn = (PhoneNumber)o;
        return pn.lineNumber == lineNumber
               && pn.prefix == prefix
               && pn.areaCode == areaCode;
```

The hashCode contract

Whenever it is invoked on the same object more than once during an execution of an application, the hashCode method must consistently return the same integer, provided no information used in equals comparisons on the object is modified. This integer need not remain consistent from one execution of an application to another execution of the same application.

- If two objects are equal according to the equals(Object) method, then calling the hashCode method on each of the two objects must produce the same integer result.
- It is not required that if two objects are unequal according to the equals(Object) method, then calling the hashCode method on each of the two objects must produce distinct integer results. However, the programmer should be aware that producing distinct integer results for unequal objects may improve the performance of hash tables.

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The hashCode contract in English

- Equal objects must have equal hash codes
 - If you override equals you must override hashCode
- Unequal objects should have different hash codes
 - Take all value fields into account when constructing it
- Hash code must not change unless object mutated



hashCode override example

```
public final class PhoneNumber {
    private final short areaCode;
    private final short prefix;
    private final short lineNumber;

@Override public int hashCode() {
        int result = 17; // Nonzero is good
        result = 31 * result + areaCode; // Constant must be odd
        result = 31 * result + prefix; // " " " "
        result = 31 * result + lineNumber; // " " " "
        return result;
    } ...
}
```

For more than you want to know about overriding object methods, see *Effective Java* Chapter 2



The == operator vs. equals method

- For primitives you must use ==
- For object reference types
 - The == operator provides identity semantics
 - Exactly as implemented by Object.equals
 - Even if Object.equals has been overridden
 - This is seldom what you want!
 - you should (almost) always use .equals
 - Using == on an object reference is a bad smell in code
 - -if (input == "yes") // A bug!!!



Pop quiz: what does this print?

```
public class Name {
                                                   (a) true
    private final String first, last;
                                                   b) false
    public Name(String first, String last) {
        if (first == null || last == null)
                                                   (c) It varies
            throw new NullPointerException();
        this.first = first; this.last = last;
    public boolean equals(Name o) {
        return first.equals(o.first) && last.equals(o.last);
    public int hashCode() {
        return 31 * first.hashCode() + last.hashCode();
    public static void main(String[] args) {
        Set s = new HashSet();
        s.add(new Name("Mickey", "Mouse"));
        System.out.println(
            s.contains(new Name("Mickey", "Mouse")));
```

What Does It Print?

- (a) true
- (b) false
- (c) It varies

Name overrides hashCode but not equals! The two Name instances are unequal.

Another Look

```
public class Name {
   private final String first, last;
   public Name(String first, String last) {
        if (first == null || last == null)
            throw new NullPointerException();
        this.first = first; this.last = last;
   public boolean equals(Name o) { // Accidental overloading
        return first.equals(o.first) && last.equals(o.last);
   public int hashCode() {
                                   // Overriding
        return 31 * first.hashCode() + last.hashCode();
   public static void main(String[] args) {
        Set s = new HashSet();
        s.add(new Name("Mickey", "Mouse"));
        System.out.println(
          s.contains(new Name("Mickey", "Mouse")));
```

How Do You Fix It?

Replace the overloaded equals method with an overriding equals method

```
@Override public boolean equals(Object o) {
   if (!(o instanceof Name))
      return false;
   Name n = (Name)o;
   return n.first.equals(first) && n.last.equals(last);
}
```

With this change, program prints true



The Moral

- If you want to override a method:
 - Make sure signatures match
 - Use @Override so compiler has your back
 - Do copy-and-paste declarations (or let IDE do it for you)

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Contracts

- Agreement between an object and its user
- Includes
 - Method signature (type specifications)
 - Functionality and correctness expectations
 - Performance expectations
- What the method does, not how it does it.

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Contracts and interfaces

- Implementations must adhere to an interface's contracts
- Polymorphism
 - Can have different implementations of the interface specification
 - Clients care about the interface, not the implementation

Contracts and methods

- States method's and caller's responsibilities
 - Analogy: legal contracts
 If you pay me \$30,000, I will build a new room on your house
 - Helps to pinpoint responsibility
- Contract structure
 - Precondition: what method relies on for correct operation
 - Postcondition: what method establishes after correctly running
 - Exceptional behavior: what method does if precondition violated
- Mandates correctness with respect to specification
 - If the caller fulfills the precondition,
 the method will run to completion and fulfill the postcondition



Formal specifications

Theoretical approach

- Advantages
 - Runtime checks (almost) for free
 - Basis for formal verification
 - Assisting automatic analysis tools
- Disadvantages
 - Requires a lot of work
 - Impractical in the large



Textual specifications - Javadoc

- Practical approach
- Document
 - Every parameter
 - Return value
 - Every exception (checked and unchecked)
 - What the method does, including
 - Purpose
 - Side effects
 - Any thread safety issues
 - Any performance issues
- Do not document implementation details



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Javadoc - example for a method

```
/**
                                                                   postcondition
  Returns the element at the specified position of this list.
  This method is <i>not</i> guaranteed to run in constant time.
   In some implementations, it may run in time proportional to the
   element position.
 *
  @param index position of element to return; must be non-negative and
 *
                less than the size of this list.
                                                                   precondition
  @return the element at the specified position of this list
  @throws IndexOutOfBoundsException if the index is out of range
 *
           ({@code index < 0 | index >= this.size()})
 */
E get(int index);
```

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Context

- Information Hiding means modules are independent, communicate only via APIs
- Contracts describe behavior of the APIs (without revealing implementation details)
- Testing helps gain confidence that modules behave correctly (with respect to contracts)

Semantic correctness

- Compiler ensures types are correct (type checking)
 - Prevents runtime "Method Not Found" and "Cannot add Boolean to Int" errors
- Static analysis tools (e.g., FindBugs) recognize certain common problems (bug patterns)
 - Possible NullPointerExceptions or Forgetting to close files
- How to ensure semantic correctness (adherence to contracts)?



Formal verification

- Use mathematical methods to prove correctness with respect to the formal specification
- Formally prove that all possible executions of an implementation fulfill the specification
- Manual effort; partial automation; not automatically decidable

"Testing shows the presence, not the absence of bugs"

Edsger W. Dijkstra, 1969



Testing

- Executing the program with selected inputs in a controlled environment
- Goals:
 - Reveal bugs (main goal)
 - Assess quality
 - Clarify the specification, documentation
 - Verify contracts

"Beware of bugs in the above code; I have only proved it correct, not tried it."

Donald Knuth, 1977



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Who's right, Dijkstra or Knuth?

- They're both right!
- Please see "Extra, Extra Read All About It: Nearly All Binary Searches and Mergesorts are Broken"
 - Official "Google Research" blog
 - http://googleresearch.blogspot.com/2006/06/extr
 a-extra-read-all-about-it-nearly.html
- There is no silver bullet
 - Use all tools at your disposal

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Manual testing?

GENERIC TEST CASE: USER SENDS MMS WITH PICTURE ATTACHED.

Step ID	User Action	System Response
1	Go to Main Menu	Main Menu appears
2	Go to Messages Menu	Message Menu appears
3	Select "Create new Mes-	Message Editor screen
	sage"	opens
4	Add Recipient	Recipient is added
5	Select "Insert Picture"	Insert Picture Menu opens
6	Select Picture	Picture is Selected
7	Select "Send Message"	Message is correctly sent

- Live System?
- Extra Testing System?
- Check output / assertions?
- Effort, Costs?
- Reproducible?



Automate testing

- Execute a program with specific inputs, check output for expected values
- Set up testing infrastructure
- Execute tests regularly



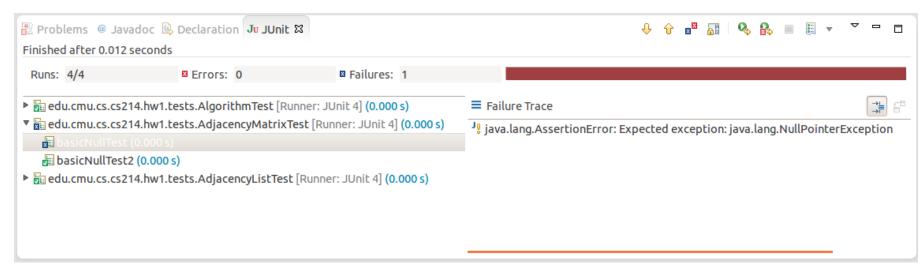
Unit tests

- Unit tests for small units: methods, classes, subsystems
 - Smallest testable part of a system
 - Test parts before assembling them
 - Intended to catch local bugs
- Typically written by developers
- Many small, fast-running, independent tests
- Little dependencies on other system parts or environment
- Insufficient but a good starting point



JUnit

- Popular unit-testing framework for Java
- Easy to use
- Tool support available
- Can be used as design mechanism



Selecting test cases: common strategies

- Read specification
- Write tests for
 - Representative case
 - Invalid cases
 - Boundary conditions
- Write stress tests
 - Automatically generate huge numbers of test cases
- Think like an attacker
 - The tester's goal is to find bugs!
- How many test should you write?
 - Aim to cover the specification
 - Work within time/money constraints



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JUnit conventions

- TestCase collects multiple tests (in one class)
- TestSuite collects test cases (typically package)
- Tests should run fast
- Tests should be independent
- Tests are methods without parameter and return value
- AssertError signals failed test (unchecked exception)
- Test Runner knows how to run JUnit tests
 - (uses reflection to find all methods with @Test annotat.)

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Test organization

- Conventions (not requirements)
- Have a test class XTest for each class X
- Have a source directory and a test directory
 - Store ATest and A in the same package
 - Tests can access members with default (package) visibility
 - Maven style: src/main/java and src/test/java



- ▼ # SFC
 - ▼ # edu.cmu.cs.cs214.hw1.graph
 - AdjacencyListGraph.java
 - AdjacencyMatrixGraph.java
 - Algorithm.java
 - 母 edu.cmu.cs.cs214.hw1.sols
 - edu.cmu.cs.cs214.hw1.staff
- ▶ Æ edu.cmu.cs.cs214.hw1.staff.tests
- ▼ # tests
 - ▼ # edu.cmu.cs.cs214.hw1.graph
 - ▶ AdjacencyListTest.java
 - ▶ **M** AdjacencyMatrixTest.java
 - AlgorithmTest.java
 - ▶ ☑ GraphBuilder.java
 - edu.cmu.cs.cs214.hw1.staff.tests
- ▶ ➡ JRE System Library [jdk1.7.0]
- ▶

 JUnit 4
- docs
- theory

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Testable code

- Think about testing when writing code
- Unit testing encourages you to write testable code
- Modularity and testability go hand in hand
- Same test can be used on multiple implementations of an interface!
- Test-Driven Development
 - A design and development method in which you write tests before you write the code
 - Writing tests can expose API weaknesses!



Run tests frequently

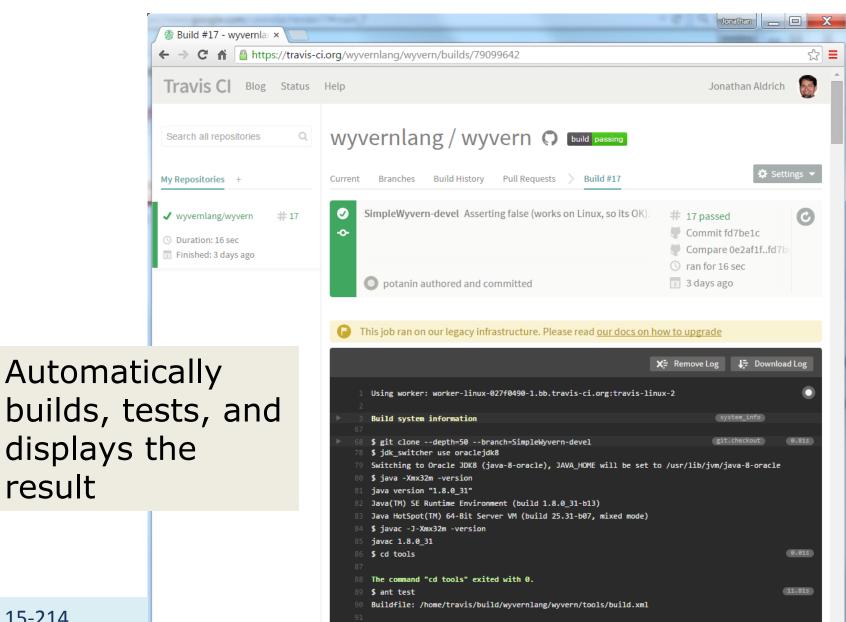
- You should only commit code that is passing all tests
- Run tests before every commit
- If entire test suite becomes too large and slow for rapid feedback:
 - Run local package-level tests ("smoke tests") frequently
 - Run all tests nightly
 - Medium sized projects easily have 1000s of test cases
- Continuous integration servers help to scale testing



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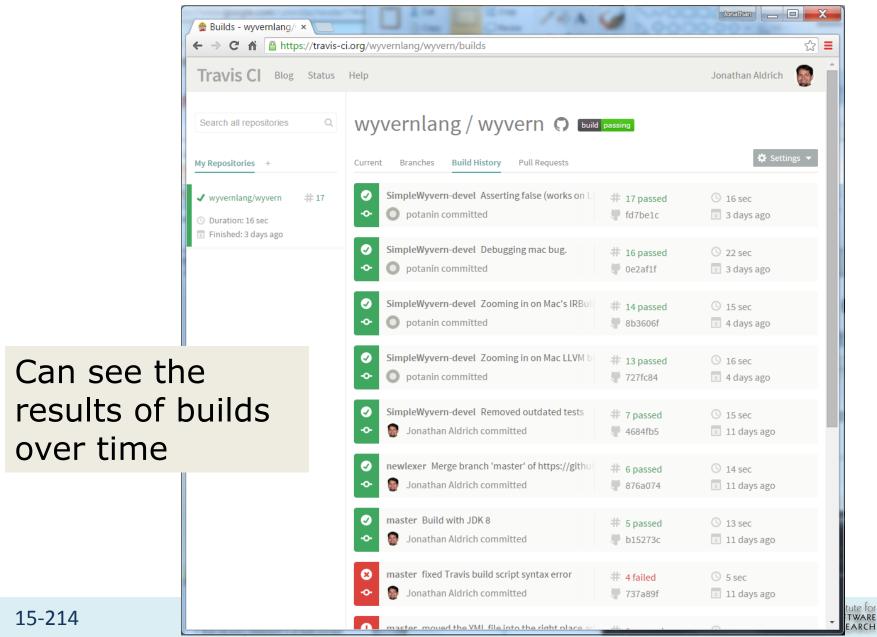
Continuous integration - Travis Cl

copper-compose-compile:



result

Continuous integration - Travis Cl



Outlook: statement coverage

- Trying to test all parts of the implementation
- Execute every statement, ideally

Does this guarantee correctness?



Summary

- Testing
 - Observable properties
 - Verify program for one execution
 - Manual development with automated regression
 - Most practical approach now
 - Does not find all problems (unsound)
- Static Analysis
 - Analysis of all possible executions
 - Specific issues only with conservative approx. and bug patterns
 - Tools available, useful for bug finding
 - Automated, but unsound and/or incomplete

- Proofs (Formal Verification)
 - Any program property
 - Verify program for all executions
 - Manual development with automated proof checkers
 - Practical for small programs, may scale up in the future
 - Sound and complete, but not automatically decidable

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Class invariants

- Critical properties of the fields of an object
- Established by the constructor
- Maintained by public method invocations
 - May be invalidated temporarily during execution



Defensive programming

- Assume clients will try to destroy invariants
 - May actually be true (malicious hackers)
 - More likely: honest mistakes
- Ensure class invariants survive any inputs
 - Defensive copying
 - Minimizing mutability

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Defensive copying

- Unlike C/C++, Java language safe
 - Immune to buffer overruns, wild pointers, etc.
- Makes it possible to write robust classes
 - Correctness doesn't depend on other modules
 - Even in safe language, requires programmer effort



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This class is not robust

```
public final class Period {
   private final Date start, end; // Invariant: start <= end</pre>
   /**
    * @throws IllegalArgumentException if start > end
    * @throws NullPointerException if start or end is null
   public Period(Date start, Date end) {
      if (start.after(end))
        throw new IllegalArgumentException(start + " > " + end);
      this.start = start;
      this.end = end;
   public Date start() { return start; }
   public Date end() { return end; }
   ... // Remainder omitted
```

The problem: Date is mutable

```
// Attack the internals of a Period instance
Date start = new Date(); // (The current time)
Date end = new Date(); // " " "
Period p = new Period(start, end);
end.setYear(78); // Modifies internals of p!
```

The solution: defensive copying

```
// Repaired constructor - defensively copies parameters
public Period(Date start, Date end) {
    this.start = new Date(start.getTime());
    this.end = new Date( end.getTime());
    if (this.start.after(this.end))
        throw new IllegalArgumentException(start +" > "+ end);
}
```

A few important details

- Copies made before checking parameters
- Validity check performed on copies
- Eliminates window of vulnerability between parameter check and copy
- Thwarts multithreaded TOCTOU attack
 - -Time-Of-Check-To-Time-Of-Use

```
// BROKEN - Permits multithreaded attack!
public Period(Date start, Date end) {
    if (start.after(end))
        throw new IllegalArgumentException(start + " > " + end);
    // Window of vulnerability
    this.start = new Date(start.getTime());
    this.end = new Date( end.getTime());
}
```

Another important detail

- Used constructor, not clone, to make copies
 - Necessary because Date class is nonfinal
 - Attacker could implement malicious subclass
 - Records reference to each extant instance
 - Provides attacker with access to instance list
- But who uses clone, anyway?



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Unfortunately, constructors are only half the battle

```
// Accessor attack on internals of Period
Date start = new Date();
Date end = new Date();
Period p = new Period(start, end);
p.end.setYear(78); // Modifies internals of p!
```

The solution: more defensive copying

```
// Repaired accessors - defensively copy fields
public Date start() {
    return new Date(start.getTime());
}
public Date end() {
    return new Date(end.getTime());
}
```

Now Period class is robust!

Summary

- Don't incorporate mutable parameters into object; make defensive copies
- Return defensive copies of mutable fields...
- Or return unmodifiable view of mutable fields
- Real lesson use immutable components
 - Eliminates the need for defensive copying



Immutable classes

- Class whose instances cannot be modified
- Examples: String, Integer, BigInteger
- How, why, and when to use them

How to write an immutable class

- Don't provide any mutators
- Ensure that no methods may be overridden
- Make all fields final
- Make all fields private
- Ensure security of any mutable components



Immutable class example

```
public final class Complex {
    private final float re, im;
    public Complex(float re, float im) {
       this.re = re;
       this.im = im;
   // Getters without corresponding setters
    public float realPart() { return re; }
    public float imaginaryPart() { return im; }
    // subtract, multiply, divide similar to add
    public Complex add(Complex c) {
        return new Complex(re + c.re, im + c.im);
```

Immutable class example (cont.)

```
public boolean equals(Object o) {
    if (o == this) return true;
    if (!(o instanceof Complex)) return false;
    Complex c = (Complex)o;
    return (Float.floatToIntBits(re) ==
             Float.floatToIntBits(c.re)) &&
            (Float.floatToIntBits(im) ==
             Float.floatToIntBits(c.im));
public int hashCode() {
    int result = 17 + Float.floatToIntBits(re);
    result = 37*result + Float.floatToIntBits(im);
    return result;
public String toString() {
    return "(" + re + " + " + im + "i)";
```

Distinguishing characteristic

- Return new instance instead of modifying
- Functional programming
- May seem unnatural at first
- Many advantages



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Advantages

- Simplicity
- Inherently Thread-Safe
- Can be shared freely
- No need for defensive copies
- Excellent building blocks



Major disadvantage

- Separate instance for each distinct value
- Creating these instances can be costly

```
BigInteger moby = ...; // A million bits long
moby = moby.flipBit(0); // Ouch!
```

- Problem magnified for multistep operations
 - Well-designed immutable classes provide common multistep operations as primitives
- Alternative: mutable companion class

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When to make classes immutable

- Always, unless there's a good reason not to
- Always make small "value classes" immutable!
 - Examples: Color, PhoneNumber, Price
 - Date and Point were mistakes!
 - Experts often use long instead of Date



When to make classes mutable

- Class represents entity whose state changes
 - Real-world BankAccount, TrafficLight
 - Abstract Iterator, Matcher, Collection
 - Process classes Thread, Timer
- If class must be mutable, minimize mutability
 - Constructors should fully initialize instance
 - Avoid reinitialize methods



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Summary

- Reuse objects where appropriate
 - Improves clarity and performance
- Make defensive copies where required
 - Provides robustness
- Write immutable classes
 - Simple, thread-safe, sharable and reusable

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Assertiveness Training

- What is an assertion
- Why use assertions
- How to use assertions

What is an assertion?

- Statement containing boolean expression that programmer believes to be true:
 assert speed <= SPEED_OF LIGHT;
- Evaluated at run time Error if false
- Disabled by default no performance effect
- Typically enabled during development
- May be enabled in field!



Syntax

```
AssertStatement:

assert Expression<sub>1</sub>;

assert(Expression<sub>1</sub>, Expression<sub>2</sub>);
```

- Expression₁ asserted condition (boolean)
- Expression, detail message of AssertionError

Why use assertions?

- Document & test programmer's assumptions
- Verify programmer's understanding
- Quickly uncover bugs
- Increase confidence that program is bug-free
- They turn black box tests into white box tests



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How to use assertions

- Sprinkle source code liberally with assertions
 - To enable in user code: java -ea
 - To enable in JRE libraries: java -esa
 - To enable everywhere: java -ea -esa
- Can enable at package or class granularity
 - See compiler documentation for details

Look for "assertive comments"

```
int residue = i % 3;
if (residue == 0) {
          ...
} else if (residue == 1) {
          ...
} else { // (residue == 2)
          ...
}
```

Replace with real assertions!

```
int residue = i % 3;
if (residue == 0) {
} else if (residue == 1) {
} else {
    assert residue == 2;
```

Use second argument for failure capture

```
if (i%3 == 0) {
} else if (i%3 == 1) {
} else {
    assert (i\%3==2, i);
```

Look for switch with no default

```
switch(flavor) {
  case VANILLA:
    break;
  case CHOCOLATE:
    break;
  case STRAWBERRY:
```

Add an "assertive default"

```
switch(flavor) {
  case VANILLA:
    break;
  case CHOCOLATE:
    break;
  case STRAWBERRY:
    break;
  default:
    assert(false, flavor);
```

Do **not** use assertions for public preconditions

```
/**
 * Sets the refresh rate.
 * @param rate refresh rate, in frames per second.
 * @throws IllegalArgumentException if rate <= 0
           or rate > MAX_REFRESH_RATE.
 */
public void setRefreshRate(int rate) {
    if (rate <= 0 || rate > MAX_REFRESH_RATE)
        throw new IllegalArgumentException(...);
    setRefreshInterval(1000 / rate);
```

Do use assertions for non-public preconditions

```
/**
 * Sets the refresh interval (which must correspond
 * to a legal frame rate).
 * @param interval refresh interval in ms
 */
private void setRefreshInterval(int interval) {
    assert(interval > 0 && interval <= 1000, interval);
    ... // Set the refresh interval
}</pre>
```

Use assertions for postconditions

```
/**
 * Returns BigInteger whose value is (this-1 mod m).
 * @throws ArithmeticException if m <= 0, or this
           BigInteger is not relatively prime to m.
 */
public BigInteger modInverse(BigInteger m) {
    if (m.signum() <= 0)
        throw new ArithmeticException(m + "<= 0");
    ... // Do the computation
    assert this.multiply(result).mod(m).equals(ONE);
    return result;
```

Complex postconditions

```
void foo(int[] a) {
    // Manipulate contents of array
    ...

// Array will appear unchanged
}
```

Use assertions for complex postconditions

```
void foo(final int[] a) {
    class DataCopy {
        private int[] aCopy;
        DataCopy() { aCopy = (int[]) a.clone(); }
        boolean isConsistent() {
            return Arrays.equals(a, aCopy);
    DataCopy copy = null;
    assert (copy = new DataCopy()) != null;
    ... // Manipulate contents of array
    assert copy.isConsistent();
```

Caveats

Do this:

No side effects visible outside other assertions

```
boolean modified = set.remove(elt);
assert modified;
not this:
    assert set.remove(elt); //Bug!
```

Watch out for infinite recursion

Sermon: accept assertions into your life

- Programmer's interior monologue:
 - "Now at this point, we know..."
- During, not after, development
- Quickly becomes second nature
- Pays big code-quality dividends



Summary

- Overriding Object methods demands care
- Check adherence to interfaces with unit tests
- Maintain invariants critical to correctness
 - Minimize mutability
 - Make defensive copies where required
- Use assertions to test class invariants, postconditions, and private preconditions

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